

APPENDIX 3 RISK ASSESSMENT MATRIX

LEVEL	DESCRIPTOR	DESCRIPTION – ICB LEVEL	DESCRIPTION – PLACE LEVEL
5	Catastrophic (>75%)	<p>Safety - multiple deaths which is responsibility of ICB. Multiple permanent injuries or irreversible health effects. An event affecting >50 people.</p> <p>Finance - significant financial loss - >1% of ICB budget</p> <p>Reputation - failure to be authorised, sustained adverse national media (3 days+), significant adverse public reaction / loss of public confidence</p>	<p>Safety - multiple deaths which is responsibility of ICB. Multiple permanent injuries or irreversible health effects. An event affecting >50 people.</p> <p>Finance - significant financial loss - >1% of delegated Place budget</p> <p>Reputation - ICB delegation withheld / withdrawn, sustained adverse local media (3 days+), significant adverse public reaction / loss of public confidence</p>
4	Major (50% > 75%)	<p>Safety - individual death / permanent injury/ disability which is responsibility of ICB. 14 days off work - affects 16 – 50</p> <p>Finance - major financial loss of 0.5-1% of ICB budget</p> <p>Reputation - criticism or intervention by NHSE/I, litigation, adverse national media, adverse public</p>	<p>Safety - individual death / permanent injury/ disability which is responsibility of ICB. 14 days off work - affects 16</p> <p>Finance - major financial loss of 0.5-1% of delegated Place budget</p> <p>Reputation - criticism or intervention by ICB, litigation, adverse local media, adverse public reaction</p>
3	Moderate (25% > - 50%)	<p>Safety - moderate injury or illness, requiring medical treatment e.g. fracture which is responsibility of ICB. RIDDOR/Agency reportable incident (4-14 days lost).</p> <p>Finance - moderate financial loss - less than 0.5% of ICB budget</p> <p>Reputation - conditions imposed on authorisation by NHSE/I, litigation, local media coverage, patient and partner complaints & dissatisfaction</p>	<p>Safety - moderate injury or illness, requiring medical treatment e.g. fracture which is responsibility of ICB. RIDDOR/Agency reportable incident (4-14 days lost).</p> <p>Finance - moderate financial loss - less than 0.5% of delegated Place budget</p> <p>Reputation - conditions imposed on delegation by ICB, litigation, local media coverage, patient and partner complaints & dissatisfaction</p>
2	Minor (<25%)	<p>Safety - minor injury or illness requiring first aid treatment</p> <p>Finance - minor financial loss less than 0.2% of ICB budget</p> <p>Reputation - some criticism slight possibility of complaint or litigation but minimum impact on ICB</p>	<p>Safety - minor injury or illness requiring first aid treatment</p> <p>Finance - minor financial loss less than 0.2% of delegated Place budget</p> <p>Reputation - some criticism slight possibility of complaint or litigation but minimum impact on Place</p>
1	Negligible (<5%)	<p>Safety - none or insignificant injury due to fault of ICB</p> <p>Finance - no financial or very minor loss</p> <p>Reputation - no impact or loss of external reputation</p>	<p>Safety - none or insignificant injury due to fault of ICB</p> <p>Finance - no financial or very minor loss</p> <p>Reputation - no impact or loss of external reputation</p>

1	2	3	4	5
Rare The event could only occur in exceptional circumstances (<5%)	Unlikely The event could occur at some time (<25%)	Possible The event may well occur at some time (25%> -50%)	Likely The event will occur in most circumstances (50% > 75%)	Almost certain The event is almost certain to occur (>75%)

LIKELIHOOD of risk being realised	IMPACT (severity) of risk being realised				
	Negligible (1)	Minor (2)	Moderate (3)	Major (4)	Catastrophic (5)
Rare (1)	1	2	3	4	5
Unlikely (2)	2	4	6	8	10
Possible (3)	3	6	9	12	15
Likely (4)	4	8	12	16	20
Almost Certain (5)	5	10	15	20	25